

**MARKETING DIVISION
COAL INDIA LIMITED
KOLKATA**

CIL/M&S/e-auction/177

05.05.2021

NOTICE

Sub: Optimization of documents required to be submitted by the bidders for procuring coal under different schemes of e-auction of coal/linkages.

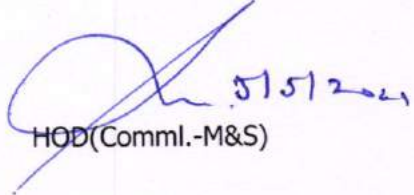
A comprehensive list of documents required to be submitted under following schemes/ Fuel Supply Agreements (FSAs) is attached herewith.

1. Spot e-auction/Special Spot e-auction, Special Forward e-Auction and Exclusive e-Auction.
2. NRS Linkage Auction Scheme/FSA
3. SHAKTI B(ii) Linkage Auction Scheme/FSA
4. SHAKTI B(iii) Linkage Auction Scheme/FSA
5. SHAKTI B(viii)(a) Linkage Auction Scheme/FSA

Submission of documents as per enclosed list would be applicable for all e-auctions/linkage auctions to be held henceforth.

The relevant provision in the scheme/FSAs as applicable, shall stand amended accordingly.

Encl: As above


HOD(Comml.-M&S)

Copy to: for uploading on respective websites.

1. MSTC Ltd.
2. mjunction services limited
3. GM/HOD (M&S) – BCCL/CCL/ECL/MCL/NCL/SECL/WCL,GM, NEC
4. GM (Systems), CIL

Copy for kind information to:
Director (Marketing), CIL.

05.05.2021

Documents required for e-auction schemes/FSAs

Spot/Special Spot e-Auction Scheme

For Registration

1. Application for registration prescribed format
2. PAN Number
3. GSTIN Certificate
4. Passport size photograph

For Participation

1. EMD in Cash or in BG

For lifting of coal

1. Deposition of coal value & taxes along with relevant debit advice issued by the bank
2. Authorization document from buyer for lifting /supervise loading

Special Forward e-Auction Scheme for Power Producers

For Registration

1. Application for registration in prescribed format
2. Sworn in affidavit on stamp paper before a Judicial/Executive Magistrate/Notary Public certifying coal purchased will be for own consumption and generation of power only and would not be resold, transferred or exported. Status of commissioning under the affidavit with Name of Plant, No. of Units with capacity. Declaration that if details are found false they will be debarred from participating in auction of coal by CIL for next one year. (as per prescribed format)
3. PAN Number
4. GSTIN Certificate
5. Passport size photograph
6. Certificate of incorporation issued by the registrar of companies (ROC)
7. A board resolution authorizing one representative of the power plants to sign on behalf of the company with attested passport size photographs of the authorized Officials

For participation

1. EMD in Cash or in BG.
2. Valid pollution certificate or NOC from concerned authority. If pollution certificate is yet to be received, evidence by producing certified copy of payment receipts/acknowledgements etc. for such application made to the pollution control authority may be furnished

Lifting of coal

1. Bidders who have applied for CTO- The bidders whose consent to operate (CTO) has expired or is going to expire within the pendency of lifting and are yet to receive valid NOC from the respective State pollution Control Board against their application already made for renewal of CTO are required to furnish the proof of application made to the State Pollution Control Board along with an affidavit declaring that the copy of Original Certificate will be submitted within three months from the date of expiry of CTO or validity period for lifting whichever is earlier. However, the bidder along with proof of application to the State Pollution Control Board needs to submit the copy of affidavit, duly notarized if it is already submitted for participating in earlier phases of Special forward e-auction.

Bidders who are yet to apply for renewal of CTO which will expire during the pendency of lifting: Such bidders shall have to submit a duly notarized affidavit stating that they will submit the copy of application documents for renewal of CTO to the respective state Pollution Control Board and the original CTO will be submitted within 3 months from the date of expiry of the CTO or end of validity period of lifting whichever is earlier.

2. Deposition of coal value & taxes against monthly distributed quantity along with relevant debit advice issued by the bank
3. Authorization document from buyer for lifting/supervising loading
4. Commissioning certificate (if yet to be commissioned plants, participated)

After lifting

1. Power Producers shall have to furnish a certificate after utilizing the coal supplied under the auction confirming the quantity of Power Generated from such coal at the end of every quarter. The coal supplied to each TPP under such e-auction shall be informed by CIL /the supplying coal company to the concerned State DISCOMs/PTC/Power Exchange/Power Traders etc. The State DISCOMs/PTC/Power Exchange/Power Traders will issue a certificate to IPP with a copy to CIL/Supplying Coal Company regarding power supplied to them by IPP during the quarter. The IPP would submit these certificates and coal consumed for this purpose to CIL/Supplying Coal Company for reconciliation

Exclusive e-Auction Scheme for Non-Power (including CPP)

For Registration

1. Application for registration in prescribed format
2. PAN Number
3. GSTIN Certificate
4. SSI /Industrial Registration
5. Linkage letter issued by MoC or valid letter of recommendation issued by Central/State Ministry/DIC certifying existence and end-use of coal in the unit
6. Sworn in affidavit on stamp paper before Judicial/Executive Magistrate/Notary Public certifying own consumption of coal purchased and not to be resold, transferred or exported
7. Proof of existence of business entity like registered partnership deed / certificate of incorporation issued by the registrar of companies of the concerned state, wherever applicable
8. Passport size photograph

For participation

1. EMD in Cash or in BG
2. Valid pollution certificate or NOC from concerned authority. If pollution certificate is yet to be received, evidence by producing certified copy of payment receipts/acknowledgements etc. for such application made to the pollution control authority may be furnished.
3. Certificate from DIC/Relevant Authority containing certification that unit is end user of coal and is functioning , specifying date of inspection which should not be older than 12 months from the date of e-auction event or IEM Part B Acknowledgement.(as applicable)

Lifting of coal

1. Bidders who have applied for CTO: The bidders whose consent to operate (CTO) has expired or is going to expire within the pendency of lifting and are yet to receive valid NOC from the respective State pollution Control Board against their application already made for renewal of CTO are required to furnish the proof of application made to the State Pollution Control Board along with an affidavit declaring that the copy of Original Certificate will be submitted within three months from the date of expiry of CTO or validity period for lifting whichever is earlier. However, the bidder along with proof of application to the State Pollution Control Board needs to submit the copy of affidavit, duly notarized if it is already submitted for participating in earlier phases of Exclusive e-auction.

Bidders who are yet to apply for renewal of CTO which will expire during the pendency of lifting: Such bidders shall have to submit a duly notarized affidavit stating that they will submit the copy of application documents for renewal of CTO to the respective state Pollution Control Board and the original CTO will be submitted within 3 months from the date of expiry of the CTO or end of validity period of lifting whichever is earlier

2. Deposition of coal value & taxes against monthly distributed quantity along with relevant debit advice issued by the bank
3. Authorization document from buyer for lifting/supervising loading

NRS Linkage Auction

For registration

1. Self-attested copy of PAN
2. Self-attested copy of GSTIN

For participation

1. RFP information & EMD in MSTC platform
 - a. Details of Specified End Use Plant and existing linkage
 - b. Payment towards Bid Security and Process Fee (EMD) @ Rs. 100 + process fee of Rs. 2.10 /ton
2. Uploading scanned copies in MSTC platform
 - a. A power of attorney in the prescribed format along with a certified true copy of the relevant authorizations
 - b. An affidavit in the prescribed format certifying the following:
 - i. To meet all the Eligibility Conditions under the scheme
 - ii. Compliance of all Applicable Laws including environmental laws and existing linkage details
3. Physical copies of above documents to MSTC

Execution of FSA

1. Notarised Power of Attorney (PoA).
2. Certified true copy of Board Resolution/ Shareholders Resolution etc.
3. Commercial Operation Commencement certificate of EUP from a certified Chartered Engineer or IEM Part B acknowledgement.
4. Self-attested copy of valid Factory License of EUP or copy of application filed for renewal of the same in case of expire. In case Factories Act not applicable due to number of workers employed then an affidavit cum undertaking to the effect that the said unit does not qualify as a factory under the provisions of Factories Act as per stipulations under section 2(m) of Factories Act regarding number of workers/ is to be submitted in the prescribed format by respective Coal Co.
5. Self-attested copy of CTO of EUP issued under the relevant pollution control laws or copy of application filed for renewal.
6. Self-attested copy of GSTIN and PAN.
7. Valid SSI/industrial registration certificate.
8. Documentation with respect to existing coal linkages, assurance of linkages and/ or allocation of mine.
9. Self-attested copy of the documents loaded at the RFP stage.
10. Certificate of coal requirement verified by Verification Agency/chartered Engineer. [by others (coking) and others(non-coking) sector consumers]
11. Performance Security

Lifting of coal

1. Notarised power of attorney.
2. Payment of coal value as per FSA.
3. Authorization document from consumer/bidder for lifting/supervise loading

Note: Validity of the documents wherever applicable to be maintained for lifting. FSA can be executed and/or coal supply continued for a maximum of three months after the date of expiry of the CTO/Factory License if the bidder has submitted proof of its application for renewal of the same.

After lifting

1. Yearly coal consumption certificate (as per prescribed format) to be given to coal co. duly certified by chartered accountant within 3 months of completion of FY.

SHAKTI B(ii)

For registration

1. Self-attested copy of PAN
2. Self-attested copy of GSTIN

For Participation

1. Submission of EOI along with DISCOM Certificate and supporting documents.
2. Power of Attorney & Board Resolution
3. Affidavit as per scheme.
4. Undertaking as per scheme
5. Bid Security @ Rs 100 per tonne
6. Process Fee @ Rs 11.80 per tonne

Execution of FSA

1. Notarised Power of Attorney (PoA) & Certified true copy of Board Resolution or Certified true copy of Shareholders Resolution etc.
2. Self-attested copy of valid Factory License*
3. Self-attested copy of Consent to Operate. Alternatively, a valid no-objection certificate from the relevant State Pollution Control Board*
4. Self-attested copy of GSTIN and PAN number of the Successful Bidder.
5. Certificate of Incorporation/ Commencement of Business Certificate
6. In-principle water allocation from state water supply authority.*
7. Certificate of Date of Commercial Operation (COD) issued by CEA.*
8. Certificate of commissioning in respect of the Specified End Use Plant.*
9. Written confirmation and undertaking that the Provisional Successful Bidder satisfies and shall continue to satisfy the Eligibility Criteria prescribed in Clause 4 of the Scheme Document.
10. Balance life of each Unit of the Specified End Use Plant as certified by CEA.
11. Amended PPA and approval of regulatory commission
12. An undertaking in the form of an affidavit that all applicable laws of the land are duly complied with failing which coal supply would be suspended.
13. Documentation with respect to existing coal linkages, assurance of linkages and/or allocation of mine.

**For the Specified End Use Plant which has not commissioned, all documents, other than the documents marked with (*), shall be provided prior to execution of FSA. Documents marked with (*) shall be provided forthwith upon commissioning of the Specified End Use Plant.*

Lifting of coal

1. Self-attested copy of valid Factory License or copy of application filed for renewal of the same, in case the Factory License has recently expired.
2. Self-attested copy of Consent to Operate or copy of application filed for renewal of the same. Alternatively, a valid no-objection certificate from the relevant State Pollution Control.
3. Self-attested copy of GST registration certificate and PAN of the Purchaser.
4. Certificate of Incorporation/Commencement of Business Certificate of the Purchaser.
5. In principle water allocation for commencement of coal supply. However firm water allocation from state water supply authority to be submitted in due time.
6. Certificate of Date of Commercial Operation (COD) issued by CEA.

7. Certificate of commissioning in respect of the Specified End Use Plant.(If COD given this is optional)
8. An undertaking in the form of an affidavit that all Applicable Laws of the land are duly complied with failing which Coal supply would be suspended.
9. Documentation with respect to existing coal linkages, assurance of linkages and/or allocation of mine.
10. Balance life of each Unit of the Specified End Use Plant as certified by CEA

Note: Documents already given while execution of FSA, if valid, are not required to be given while lifting of coal.

SHAKTI B(iii)

Registration

1. Self-attested copy of PAN
2. Self-attested copy of GSTIN

Participation in Auction

1. Submission of EOI along with supporting documents Power of Attorney & Board Resolution
2. Affidavit as per scheme.
3. Undertaking as per scheme.
4. Bid Security @ Rs 100 per tonne
5. Process Fee @ Rs 2.10 per tonne

Execution of FSA

1. Notarised Power of Attorney (PoA) & Certified true copy of Board Resolution or Certified true copy of Shareholders Resolution etc.
2. Self-attested copy of valid Factory License or copy of application filed for renewal of the same, in case the Factory License has recently expired
3. Self-attested copy of Consent to Operate. Alternatively, a valid no-objection certificate from the relevant State Pollution Control Board
4. Self-attested copy of GSTIN and PAN number of the Successful Bidder.
5. Certificate of Incorporation/ Commencement of Business Certificate.
6. In-principle water allocation from state water supply authority.
7. Certificate of Date of Commercial Operation (COD) issued by CEA.
8. Certificate of commissioning in respect of the Specified End Use Plant.
9. Documentation with respect to existing coal linkages, assurance of linkages and/or allocation of mine.
10. Balance life of each Unit of the Specified End Use Plant as certified by CEA.
11. Certificate of DISCOM(verification certificate)

Lifting of Coal

1. Copy of valid PPA.

Note: All required documents are given while execution of FSA. In case validity expires, valid document is required to be resubmitted while lifting of coal.

SHAKTI B(viii) (a)

Registration

1. Self-attested copy of PAN
2. Self-attested copy of GSTIN

Participation in Auction

1. Submission of Notice Inviting Requisition to CEA
2. Power of Attorney & Board Resolution
3. Affidavit as per scheme.
4. Undertaking as per scheme
5. Bid Security @ Rs 100 per tonne
6. Process Fee @ Rs 11.80 per tonne

Execution of FSA

1. Performance Security
2. Affidavit confirming availability of necessary documents and information

After Lifting

1. After lifting of coal within 4.5 months, the consumer has to provide CEA certification on utilization of coal, mentioning utilized and unutilized portion of lifted quantity.